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# Comparative Study of the Electrochemical Behaviors for LiCoO<sub>2</sub> Electrode Coated with Two Different Al<sub>2</sub>O<sub>3</sub> Coating Layer

Daxian Zuo, Guanglei Tian<sup>\*</sup>, Da Chen<sup>\*\*</sup>, Kangying Shu

College of Material Science and Engineering, China Jiliang University, Hangzhou, 310018, Zhejiang, China.

<sup>\*</sup>E-mail: <u>tianguanglei@cjlu.edu.cn</u>, <u>dchen\_80@hotmail.com</u>

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The two samples of coated LiCoO<sub>2</sub> electrode with different microstructures of  $Al_2O_3$  coating layer are prepared. The XRD, SEM and TEM results reveal that both  $Al_2O_3$  coating layers attached on the surface of LiCoO<sub>2</sub> have different uniformity, thickness, morphology and crystallinity. The chargedischarge cycling results show different initial discharge capacity and cycle ability for both  $Al_2O_3$ coated LiCoO<sub>2</sub> samples. The reasons causing electrochemical performance difference are ascribed to some following aspects: the different microstructure of both  $Al_2O_3$  coating layer poses to the distrinct interface resistance, which may lead to the different transport ability of Li ions as well as electrons through  $Al_2O_3$  coating layer. And meantime, the electrolyte penetration to the  $Al_2O_3$  coating is also different, which pose to different side reaction on the interface between cathode and electrolyte for both samples.

**Keywords:** Lithium ion battery, Lithium cobalt oxide, Microstructure of Al<sub>2</sub>O<sub>3</sub> layer, Electrochemical performance

## **1. INTRODUCTION**

Since LiCoO<sub>2</sub> was reported by J. B. Goodenough in 1980 [1], it has become a popular cathode material in the lithium ion secondary battery field, and due to its high capacity and compacted density, it has been widely investigated [2]. Although it has a high theoretical specific capacity of 274 mAh g<sup>-1</sup> when entire lithium ions are extracted, its practical reversible capacity cannot be up to more than 140 mAh g<sup>-1</sup> because of the side reactions between electrode and electrolyte and cobalt dissolution into electrolytes upon cycling [3-5]. Besides, it is well known that the interfacial resistance between LiCoO<sub>2</sub> electrode and electrolyte increases significantly and leads to the rapid capacity fade when the battery is cycled at a voltage over 4.2 V (vs. Li/Li<sup>+</sup>) [6,7]. To decrease the capacity fade of LiCoO<sub>2</sub>

during charge-discharge process above 4.2 V, many approaches has been developed. And one is to modify the cathode surface by coating LiCoO<sub>2</sub> particles with different metal oxides such as Al<sub>2</sub>O<sub>3</sub> [8], TiO<sub>2</sub> [9], Fe<sub>2</sub>O<sub>3</sub> [10], ZnO [11], CeO<sub>2</sub> [12], and ZrO<sub>2</sub> [13] etc., which has been recognized as one of the effective techniques among these different approaches for (i) lowering interfacial resistance between LiCoO<sub>2</sub> and electrolyte, (ii) reducing Co dissolution of LiCoO<sub>2</sub> into an electrolyte, and (iii) repression of mutual reaction between LiCoO<sub>2</sub> and electrolyte. Therefore, a good capacity retention is achieved by oxide coatings on LiCoO<sub>2</sub> even with a high upper limit voltage over 4.2 V (vs. Li/Li<sup>+</sup>).

There are many literatures about alumina coating on LiCoO<sub>2</sub> [14-16], and as seen from these literatures, alumina coating can improve the electrochemical performance of LiCoO<sub>2</sub> to a certain extent. However, although these literatures use the same alumina coating, the electrochemical behavior of LiCoO<sub>2</sub> is quite different. Besides, the microstructure of alumina coating layer may be of great importance for enhancing the electrochemical properties of cathode material, and appropriate alumina coating layer could better diminish interface resistance and accelerate the transfer of electron and Li<sup>+</sup> ion. Unfortunately, so far, the influence of the microstructure of alumina coating layer on cathode electrochemical performance has rarely been studied. In the existing commercial cathode material, LiCoO<sub>2</sub> generally has a smooth surface and single crystal particle with 5-10  $\mu$ m, and the continuity and uniformity of coating layer on the surface of LiCoO<sub>2</sub> are relatively good, which is beneficial to study the microstructure of alumina coating layer, and studies the relationship between the microstructures of Al<sub>2</sub>O<sub>3</sub> coating layer, and studies the relationship between the microstructure of coating layer and the electrochemical behavior of cathode material.

#### 2. EXPERIMENTAL

#### 2.1. Sample preparation

#### 2.1.1 Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode

Commercial LiCoO<sub>2</sub> powders (Ningbo Jinhe New materials Co., Ltd, China), with an average particle size 5-10  $\mu$ m, was regarded as pristine materials. Aluminum isopropoxide (AIP) was served as precursors, and we defined Al<sub>2</sub>O<sub>3</sub>-coated LiCoO<sub>2</sub> derived from AIP as Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode. The weight ratios of LiCoO<sub>2</sub> to Al<sub>2</sub>O<sub>3</sub> were 99.0:1.0. Typically, the stoichiometric amount of AIP firstly was dissolved in ethanol, and then the LiCoO<sub>2</sub> powders (29.7 g) and the polyvinyl pyrrolidone (PVP, 0.8 g)was added into the solution above. After that, the mixed solution was continuously stirred at 60 °C until the solvent was evaporated. Finally, the products were washed, dried and then treated at 600 °C for 4 hours, and the final samples were obtained.

## 2.1.2 Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode

The pristine  $LiCoO_2$  was the same as above-mentioned. Sodium metaaluminate (SMA) was served as precursors, and we defined  $Al_2O_3$ -coated  $LiCoO_2$  derived from SMA as  $Al_2O_3/LiCoO_2$  II

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electrode. The weight ratios of LiCoO<sub>2</sub> to Al<sub>2</sub>O<sub>3</sub> were 99.0:1.0. Typically, the LiCoO<sub>2</sub> powder (29.7 g) and polyvinyl pyrrolidone (PVP, 0.4 g) were homogeneously dispersed in 200 mL distilled water. Then the sulfosalicylic acid (0.3 g) as chelating agent was added into the mixed solution above. After the mixed solution above was stirred for 30 min at 50 °C, 0.06 M NaAlO<sub>2</sub> solution and 0.1 M CH<sub>3</sub>COOH solution were pumped into the mixed solution above by peristaltic pump, respectively, meantime the solution pH value was adjusted to 10.0 by controlling pump speed. After the end of pumping process, the mixed solution above was sequentially stirred for 1 h at 50 °C. Finally, the products were filtered, washed, dried and then treated at 600 °C for 4 hours, and the final samples were gained.

## 2.1.3 Preparation of two different Al<sub>2</sub>O<sub>3</sub> particles

The preparation process of Al<sub>2</sub>O<sub>3</sub> particles was similar to the Experimental Section 2.1.1 and 2.1.2. For Al<sub>2</sub>O<sub>3</sub> particles in the Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode, the stoichiometric amount of AIP reagent was firstly dissolved in ethanol, and then the polyvinyl pyrrolidone (PVP, 0.8 g) was added into the solution above. After that, the mixed solution was continuously stirred at 60 °C until the solvent was evaporated. At last, the products were washed, dried and then treated at 600 °C for 4 hours, and the final samples were gained. For Al<sub>2</sub>O<sub>3</sub> particles in the Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode, the polyvinyl pyrrolidone (PVP, 0.4 g) were firstly dispersed in 200 mL distilled water. Then the sulfosalicylic acid (0.3 g) was added into the solution above. After the mixed solution were pumped into the mixed solution above by peristaltic pump, respectively, meantime the solution pH value was adjusted to 10.0 by controlling pump speed. After the end of pumping process, the mixed solution above was sequentially stirred for 1 h at 50 °C. At last, the products were filtered, washed, dried and then treated at 600 °C for 4 hours adjusted to 10.0 °C for 4 hours, and the final samples were gained.

#### 2.2. Structure and morphology characterizations

Structure analysis of the pristine and the  $Al_2O_3$ -coated LiCoO<sub>2</sub> was carried out by X-ray diffractometer (XRD) (Thermo ARL, X' TRA) equipped with a nickel-filtered Cu-K $\alpha$  radiation source. Microstructures of the  $Al_2O_3$ -coated particles were examined by a scanning electron microscope (SEM, HITACHI SU8010) and transmission electron microscope (TEM, JEOL JEM 2100). The electron diffraction spectroscopy (EDS) mapping was measured to determine the element distribution together with SEM in large field of view. The cobalt dissolution measurement was performed by the inductively coupled plasma (ICP) (PerkinElmer Optima 7000Dv instrument).

#### 2.3. Electrochemical characterizations

Characterization methods was similar to the Experimental Section 2.3 from our published paper [17]. Electrochemical properties of the products were measured using coin cells (CR2430). The working electrodes were prepared by casting the slurry consisting of 90 wt% of active material, 5 wt%

of acetylene black as conductive additive, and 5 wt% of poly (vinylidene fluoride) (PVDF) (Alfa Aesar) as binding agent onto an aluminum foil. The electrolyte consisted of a solution of 1 M LiPF<sub>6</sub> in ethylene carbonate (EC)/dimethyl carbonate (DMC) (1:1, v/v). Lithium foil was used as counter electrodes. These cells were assembled in an argon-filled glovebox (Super 1220/750, MIKROUNA) and galvanostatically cycled between 3.0 V and 4.4~4.6 V (vs. Li/Li<sup>+</sup>) on a multi-channel battery cycler (CT2001A, Wuhan LAND Electronic Co., Ltd). The electrochemical impedance spectroscopic (EIS) data were collected at an electrochemical workstation (CHI 660E, CH Instrumenets), with the frequency range set as 100 kHz to 10 mHz by applying an AC voltage of 5 mV amplitude. The cyclic voltammogram (CV) measurements were also carried out at room temperature on an Electrochemical Workstation (CHI 660E, CH Instrumenets) at a scan rate of 0.1 mV s<sup>-1</sup> between 3.0 and 4.5 V (vs. Li/Li<sup>+</sup>).

## **3. RESULTS AND DISCUSSION**

#### 3.1. Structure and morphology characterizations

The XRD patterns of LiCoO<sub>2</sub> coated with two different  $Al_2O_3$  layers are compared with those of pristine LiCoO<sub>2</sub> in Fig. 1. The XRD patterns of all samples exhibit single-phase  $\alpha$ -NaFeO<sub>2</sub> layered structure and are indexed assuming a hexagonal axes option of rhombohedral R-3m space group [18]. This suggests that the crystal structure of LiCoO<sub>2</sub> particles is hardly changed after  $Al_2O_3$  coating. Besides, for the  $Al_2O_3$ -coated LiCoO<sub>2</sub> powders, there is no diffraction peaks corresponding to  $Al_2O_3$ observed obviously in XRD patterns, which can be attribute to small amount of alumina existing on the surface of LiCoO<sub>2</sub> and may suggest that aluminum oxide acts as an amorphous thin film.



**Figure 1.** XRD patterns of the pristine LiCoO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode, respectively.



**Figure 2.** SEM images of (a) the pristine LiCoO<sub>2</sub>, (b) Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode, (c) Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode. EDS dot-mappings for composition Co, O and Al of (d) Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode, (e) Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode, respectively.

The morphology of pristine and two different Al<sub>2</sub>O<sub>3</sub>-coated LiCoO<sub>2</sub> particle was characterized by SEM, and the images are shown in Fig. 2. As seen from Fig. 2a, obviously the surface of pristine LiCoO<sub>2</sub> is very smooth and seems rather "clean". In addition, for the two different Al<sub>2</sub>O<sub>3</sub> coating, there is an obvious difference on the surface microstructure of coated LiCoO<sub>2</sub> electrode. As shown in Fig. 2b, the surface of Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode reveals no distinguishable different compared with the pristine LiCoO<sub>2</sub>, which is rather smooth and thus shows better uniformity. In comparison, Fig. 2c suggests that many small particles are attached on the surface of LiCoO<sub>2</sub>, which show that this uneven and incomplete coating occurs on the surface of Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode. These surface differences between both Al<sub>2</sub>O<sub>3</sub> coatings may be posed by the different deposition environment of Al(OH)<sub>3</sub> such as pH value, Al<sup>3+</sup> concentration and the properties of liquid phase [19]. Also, when the pH=10.0, the precipitation reaction of SMA is relatively rapid, thus a high local concentration is easily achieved on the LiCoO<sub>2</sub> surface. Contrarily, the hydrolysis rate of AIP is very slow, which could inhibit agglomeration of particles. Therefore, the Al<sub>2</sub>O<sub>3</sub> coating layer for the Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode is more compact and smoother than that of Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode. In short, the SEM images reveal that the surface microstructure of both Al<sub>2</sub>O<sub>3</sub> coating layer is greatly different, which may give rise to different electrochemical performances.

Fig. 2d and e presents the EDS mapping images of Co, O and Al in the  $LiCoO_2$  particles coated by two different  $Al_2O_3$  coating layers. Homogeneous distributions of Co, O and Al were observed in the coated  $LiCoO_2$  electrode material. It is also seen that Al components are almost encapsulated on the whole surface and Al elements are dispersed in the most area of both samples, which prove that both  $Al_2O_3$  coating layers are successfully attached upon the  $LiCoO_2$  surface.



**Figure 3.** TEM images of (a) Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode, (b) Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode, respectively. (c) XRD patterns of both Al<sub>2</sub>O<sub>3</sub>. (d) High-resolution TEM images of Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode.

To better analyze the microstructure difference between both Al<sub>2</sub>O<sub>3</sub> coating layers, the TEM images of Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode were examined, respectively. As shown in Fig. 3a, the magnified image around the edge of the surface-modified  $LiCoO_2$  particle reveals that it is uniformly coated by a thin floc-like Al<sub>2</sub>O<sub>3</sub> layer which has a thickness ranging from 20 to 50 nm. On the contrary, as seen from Fig. 3b, Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode yields discontinuous deposition of Al<sub>2</sub>O<sub>3</sub> coating layer, which makes the uniformity less than that of Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode. Meantime, highresolution TEM images of Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode (Fig. 3d) suggests that this Al<sub>2</sub>O<sub>3</sub>-II coating layer is made of some thin Al<sub>2</sub>O<sub>3</sub> sheets, which show different microstructure compared with Al<sub>2</sub>O<sub>3</sub>-I coating layer. Besides, the selected area electron diffraction (SAED) patterns of Al<sub>2</sub>O<sub>3</sub> coating layer are definitely different between Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode. As shown in the right upper inset in Fig. 3a and b, the Al<sub>2</sub>O<sub>3</sub> coating layer derived from Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode shows completely amorphous, but as for the Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode, the crystallization of Al<sub>2</sub>O<sub>3</sub> coating layer is detected. Moreover, to further analyze the microstructure difference between both Al<sub>2</sub>O<sub>3</sub> coating layers, the XRD patterns of two different Al<sub>2</sub>O<sub>3</sub> particles are compared with each other in Fig. 3c. The preparation of the Al<sub>2</sub>O<sub>3</sub> particles is shown in the Experimental Section 2.1.3. As seen from Fig. 3c, the XRD pattern of Al<sub>2</sub>O<sub>3</sub> particles from Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode is amorphous, which is consistent with SAED analysis. However, as for the Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode, all the observed strong peaks of Al<sub>2</sub>O<sub>3</sub> particles can be indexed to  $\chi$ -Al<sub>2</sub>O<sub>3</sub> (JPCD cards No. 50-0741), which present some crystallization of Al<sub>2</sub>O<sub>3</sub>. This significant difference founded in the microstructures of both Al<sub>2</sub>O<sub>3</sub> coating layer imply that two Al<sub>2</sub>O<sub>3</sub> coating layers may have the different properties of electron transfer and Li<sup>+</sup> diffusion ability, which can further result in the different electrochemical performance.

#### 3.2. Electrochemical characteristics



**Figure 4.** The initial charge and discharge curves of pristine LiCoO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode in the voltage range of (a) 3.0-4.4 V, (c) 3.0-4.5 V and (e) 3.0-4.6 V at 0.1 C. The cycle performance curves of pristine LiCoO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode (b) between 3.0 and 4.4 V, (d) between 3.0 and 4.5 V, (f) between 3.0 and 4.6 V at 0.5 C.

The initial charge-discharge curves of the pristine and Al<sub>2</sub>O<sub>3</sub>-coated LiCoO<sub>2</sub> under the charging cutoff voltage 4.4, 4.5 and 4.6 V are shown in Fig. 4a, c and e, respectively. In comparison with the pristine one, the initial discharge capacity of Al<sub>2</sub>O<sub>3</sub>-coated samples is slightly decreased, regardless of Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode or Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode. The decreased capacities of the coated materials are attributed to the presence of the electro-inactive alumina and the diminution of amount of Co3+ available in the substituted surface oxide [20]. In addition, compared with Al2O3/LiCoO2 II electrode, the initial discharge capacity of Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode is slightly higher. This phenomenon may be explained that the resistance of Li ion migration or electron transfer in the both Al<sub>2</sub>O<sub>3</sub> layer is different. Hence, when discharging Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode, the sample will obtain larger polarization and result in a lower initial discharge capacity. Besides, as seen from Fig. 4b, d and f, after 80 cycles, the discharge capacity retention of pristine LiCoO<sub>2</sub> retains 49.7% in the voltage range from 3.0 to 4.4 V, 41.8% under the charging cutoff voltage 4.5 V, 13.6% under the charging cutoff voltage 4.6 V, respectively. However, compared to the pristine LiCoO<sub>2</sub>, the Al<sub>2</sub>O<sub>3</sub> coating effect becomes conspicuous after repeated charge-discharge cycling, and the discharge capacity retention of Al<sub>2</sub>O<sub>3</sub>-coated LiCoO<sub>2</sub> is enhanced largely. For example, for the Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode, the discharge capacity retention is 91.8% under the charging cutoff voltage 4.4 V, 91.2% under the

charging cutoff voltage 4.5 V, 66.0% under the charging cutoff voltage 4.6 V, respectively. Besides, as for the Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode, the corresponding discharge capacity retention is 83.7%, 80.0% and 52.8%, respectively. According to the TEM analysis above, both Al<sub>2</sub>O<sub>3</sub> coating layers have different microstructure: the Al<sub>2</sub>O<sub>3</sub>-I coating layer is a thin floc-like amorphous, and the Al<sub>2</sub>O<sub>3</sub>-II coating layer presents some crystallization of sheet-like  $\chi$ -Al<sub>2</sub>O<sub>3</sub>, which may cause different lithium ion diffusion and electron transfer [21], and thus lead to this cycle difference.



**Figure 5.** Schematic diagrams of the lithium ion diffusion and electron transfer through the surface of LiCoO<sub>2</sub>.

As shown in Fig. 5, lithium ions and electrons through  $Al_2O_3$  coating layer embed into the inner of LiCoO<sub>2</sub> during discharging, and different coating layers have different effects on the ability of Li<sup>+</sup> diffusion and electron transfer. For the  $Al_2O_3/LiCoO_2$  I electrode, the floc-like amorphous microstructure may be more in favor of Li transport and electron transfer, meantime, the relatively short length scale of  $Al_2O_3$  coating and better uniformity might aid faster lithium ion diffusion and electron transfer, which play an important role in electrochemical performance.

	Coating material	Electroche	D C	
Cathode		Before coating (mAh g <sup>-1</sup> )	After coating (mAh g <sup>-1</sup> )	— Ket.
LiCoO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	0.5 C: 179.5 (initial); 49.7% (80 cycles)	0.5 C: 168.5 (initial); 91.8% (80 cycles)	This paper
LiCoO <sub>2</sub>	$Al_2O_3$	0.2 C: 179.5 (initial); 59.0% (50 cycles)	0.2 C: 174.5 (initial); 97.5% (50 cycles)	[14]
LiCoO <sub>2</sub>	$Al_2O_3$	0.2 C: 168.0 (initial); 80.0% (14 cycles)	0.2 C: 168.0 (initial); 80.0% (185 cycles)	[20]
LiCoO <sub>2</sub>	ZnO	1.0 C: 178.0 (initial); 37.0% (30 cycles)	1.0 C: 179.0 (initial);65.0% (30 cycles)	[11]
LiCoO <sub>2</sub>	ZnO	1.0 C: 174.8 (initial); 17.7% (30 cycles)	1.0 C: 171.5 (initial); 34.4% (30 cycles)	[22]
LiCoO <sub>2</sub>	MgO	0.2 C: 150.0 (initial); 72.8% (60 cycles)	0.2 C: 156.0 (initial); 85.0% (60 cycles)	[18]
LiCoO <sub>2</sub>	MgO	1.0 C: 178.5 (initial); 61.5% (100 cycles)	1.0 C: 188.5 (initial); 91.5% (100 cycles)	[23]

Table. 1 Electrochemical performances of LiCoO<sub>2</sub> electrode before and after coating by various oxides.

Table 1 lists some electrochemical performances of  $LiCoO_2$  electrode before and after coating by various oxides for other earlier reports. As seen from it, when the  $LiCoO_2$  electrode was coated by different coating materials, cathode electrochemical properties could behave with great differences, and even when the  $LiCoO_2$  cathode material was coated by the same coating materials, the initial discharge capacity and cycle life also performed diverse. That is to say, the microstructure of coating layer and the properties of coating material play an important role in enhancing the electrochemical performance of cathode material. Moreover, because the transport abilities of  $Li^+$  and electrons are the key factors to determine the battery performance, the  $Li^+$  and electron transport properties of the coating layer directly affect the electrochemical performance of the coated material. Therefore, in order to obtain better electrochemical performance, the structure of coating material should be beneficial to  $Li^+$  and electrons transportation, especially for the  $Li^+$  ions.

To further analysis the reasons of capacity fade during cycling, the amount of cobalt dissolution was measured by inductively coupled plasma (ICP), which was correlated well with the capacity retention (Fig. 4). Moreover, the cobalt dissolution plays an important role in the ability of electrolyte penetration on the surface of LiCoO<sub>2</sub> electrode [24], and the stronger the cobalt dissolution into the electrolyte and side reactions on the interface is, the greater the extent of electrolyte penetration on the surface of LiCoO<sub>2</sub> is. As seen from Table 2, the amount of Co dissolution for the pristine LiCoO<sub>2</sub> electrode is about 2.031 mg L<sup>-1</sup> at 4.4 V, and as the charge cutoff voltage increases to 4.6 V, the Co dissolution largely increases up to about 3.476 mg L<sup>-1</sup>.

**Table. 2** The cobalt dissolution amount at different charge cutoff voltages for the pristine LiCoO2,<br/>Al2O3/LiCoO2 I electrode and Al2O3/LiCoO2 II electrode.

Sample	Co content d	Co content dissolved in electrolyte (mg L <sup>-1</sup> )		
	(4.4 V)	(4.5 V)	(4.6 V)	
Pristine LiCoO <sub>2</sub>	2.013	2.741	3.476	
Al <sub>2</sub> O <sub>3</sub> /LiCoO <sub>2</sub> I electrode	0.674	1.371	2.583	
Al <sub>2</sub> O <sub>3</sub> /LiCoO <sub>2</sub> II electrode	0.723	2.150	3.272	

However, the Co dissolution amount of both  $Al_2O_3$ -coated  $LiCoO_2$  electrodes is less than that of the pristine  $LiCoO_2$ . Especially for the  $Al_2O_3/LiCoO_2$  I electrode, the amount of Co dissolution is only 0.674 mg L<sup>-1</sup> at 4.4 V, and with the charge cutoff voltage increasing to 4.6 V, the Co dissolution amount increase to about 2.583 mg L<sup>-1</sup>.

Fig. 6 shows the variation trend between Co dissolution and charge cutoff voltage, which suggests that the Co dissolution amount increase with increasing the charge cutoff voltage. In addition, the amount of Co dissolution derived from  $Al_2O_3/LiCoO_2$  I electrode is lower than that from  $Al_2O_3/LiCoO_2$  II electrode, which may be related to uniformity and integrity of  $Al_2O_3$  coating layer. The  $Al_2O_3$ -I coating layer has better uniformity and integrity, so the uncoated or the weak coated area is less. Therefore, this coating layer could better reduce the direct contact area of the LiCoO<sub>2</sub> with electrolyte, thus better prevent cobalt dissolution in the electrolyte and further restraint the electrolyte penetration on the surface of LiCoO<sub>2</sub>.



**Figure 6.** The relationship between cobalt dissolution and charge cutoff voltage for the pristine LiCoO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode.



**Figure 7.** Nyquist plots of the pristine LiCoO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode at a charge state of 4.4 V for (a) the 10th and (b) 40th cycle, (c) equivalent circuit performed to fit the Nyquist plot in (a) and (b). (d) Schematic diagrams of the interface change between the LiCoO<sub>2</sub> electrode and electrolyte in charging state.

In order to understand the effect of two  $Al_2O_3$  coating layers on the AC impedance behavior of the Li/LiCoO<sub>2</sub> cells, AC impedance curves of the pristine LiCoO<sub>2</sub>,  $Al_2O_3/LiCoO_2$  I electrode and  $Al_2O_3/LiCoO_2$  II electrode were measured at a charge state of 4.4 V after cycling. As shown in Fig. 7a and b, two distinct semicircles are observed. According to the previous AC impedance studies [25-27], the semicircle in the high frequency range can be attributed to the resistance due to Li<sup>+</sup> migration through the surface film on the electrode (R<sub>f</sub>), while the semicircle observed in the high to medium frequency range is assigned to the charge transfer resistance between the electrode and electrolyte (R<sub>ct</sub>). The impedance parameters are calculated by the simplified equivalent circuit (Fig. 7c). As illustrated in Table 3, it can be seen that the  $R_f$  and  $R_{ct}$  increase dramatically during cycling for the pristine LiCoO<sub>2</sub>, particularly, the  $R_{ct}$  is added up to about 6 times of the 10th value after 40 cycles. However, for the Al<sub>2</sub>O<sub>3</sub>-coated LiCoO<sub>2</sub> electrode, the  $R_f$  and  $R_{ct}$  increase very slowly, and the  $R_{ct}$  of the Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode only increases to about 1.2 and 1.8 times of the 10th value after 40 cycles, respectively. It reveals that both Al<sub>2</sub>O<sub>3</sub> coating layers could effectively restrain the increase of interface resistance during cycling.

**Table. 3** The impedance parameters of equipment circuits for the pristine LiCoO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode.

Samples	Pristine LiCoO <sub>2</sub>		Al <sub>2</sub> O <sub>3</sub> /LiCoO <sub>2</sub> I electrode		Al <sub>2</sub> O <sub>3</sub> /LiCoO <sub>2</sub> II electrode	
	$R_{\mathrm{f}}$	R <sub>ct</sub>	$R_{\mathrm{f}}$	R <sub>ct</sub>	$\mathbf{R}_{\mathrm{f}}$	R <sub>ct</sub>
After 10th	54.7	105.3	24.8	65.5	40.6	75.6
After 40th	294.4	610.5	31.2	81.5	59.5	140.6

Generally, there are some side reactions between the electrode surface and the electrolyte under the charged state shown in Fig. 7d, and with the cutoff voltage increasing, this interaction would be enhanced further [28, 29]. During the charge-discharge process, the electrolyte will decompose into  $Li_2CO_3/LiF$  and other products. And accompanied by the oxidation of electrolyte, there must be some  $Co^{4+}$  to be reduced to  $Co^{3+}/Co^{2+}$  [30].



**Figure 8.** CV curves of the electrode materials of (a) Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode, (b) Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode and (c) the pristine LiCoO<sub>2</sub> from 3.0 to 4.5 V at a scan rate of 0.1 mV s<sup>-1</sup>.

Fig. 7a and b shows that both surface film resistance and charge transfer resistance of the  $Al_2O_3/LiCoO_2$  I electrode are lower than those of the  $Al_2O_3/LiCoO_2$  II electrode. This supports the notion that the  $Al_2O_3$  coating layer from  $Al_2O_3/LiCoO_2$  I electrode could better decrease the side reaction between the electrode surface and the electrolyte under the charged state, and limit the growth of interface resistance due to the oxidative decomposition of electrolyte, which better improves the electrochemical performance of coated LiCoO<sub>2</sub> electrode.

Fig. 8 shows the cyclic voltammetry curve of the pristine LiCoO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> I electrode and Al<sub>2</sub>O<sub>3</sub>/LiCoO<sub>2</sub> II electrode. As shown in Fig. 8c, the phase transition peaks is very clear and sharp. In addition, for the pristine LiCoO<sub>2</sub>, all the curves have two strong oxidation peaks, a major oxidation peak at about 4.08 V and a minor one at 4.21 V, associated with their corresponding reduction peak at 3.83 V and 4.15 V, respectively, which can be attributed to the redox couple  $\text{Co}^{3+/4+}$  [31-33]. However, for the Al<sub>2</sub>O<sub>3</sub>-coated LiCoO<sub>2</sub> electrode, the phase transition peaks are smooth and obscure shown in Fig. 8a and b. And all the curves have only one strong oxidation peaks, a oxidation peak at about 4.17 V and corresponding reduction peak at 3.79 V. Furthermore, for the pristine LiCoO<sub>2</sub> electrode, due to some side reaction on the interface between electrolyte and electrode, the anodic peak potentials shift obviously in the first five cycles. Whereas with the sweeps going ahead, the curves of Al<sub>2</sub>O<sub>3</sub>-coated LiCoO<sub>2</sub> electrode are nearly identical, which may result from much different irreversible electrochemical reaction and phase transitions suppressed by Al<sub>2</sub>O<sub>3</sub> coating layer, and thus indicate good reversibility. As a result, the cycle performance of coated LiCoO<sub>2</sub> electrode is improved progressively.

## 4. CONCLUSIONS

In this work, two different microstructures of  $Al_2O_3$  coating layer are successfully prepared. Meanwhile, the relationship between the microstructure of  $Al_2O_3$  coating layer and the electrochemical behaviors of coated LiCoO<sub>2</sub> electrode is investigated. The XRD, SEM and TEM results reveal that for the  $Al_2O_3/LiCoO_2$  I electrode, an amorphous  $Al_2O_3$  coating layer is found on the edge of the surface of LiCoO<sub>2</sub> with a thickness ranging from 20 to 50 nm, and furthermore, some sheet-like  $Al_2O_3$  from the  $Al_2O_3/LiCoO_2$  II electrode are attached on the surface of LiCoO<sub>2</sub> and its crystallization is detected. Besides, compared with the  $Al_2O_3$ -II coating layer, the  $Al_2O_3$ -I coating layer shows better uniformity and integrity, and the  $Al_2O_3/LiCoO_2$  I electrode exhibits higher discharge capacity and better cycle stability. The reasons causing this performance difference are mainly ascribed to two aspects: on the one hand, due to different microstructure of both  $Al_2O_3$  coating layer, the growth of the interface resistance during cycling performs different; on the other hand, the electrolyte penetration ability on the surface of  $Al_2O_3$ -coated LiCoO<sub>2</sub> electrode is different, for the  $Al_2O_3$ -I coating layer, it can better reduce the direct contact area of the LiCoO<sub>2</sub> with electrolyte, and thus better prevent cobalt dissolution into the electrolyte and further restraint the electrolyte penetration.

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