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# Self-sacrificed Synthesis of Amorphous Carbon-Coated SiO<sub>x</sub> as Anode Materials for Lithium-Ion Batteries

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Amorphous carbon coated  $SiO_x$  is developed by the self-sacrifice of self-assembled Nafion/SiO<sub>2</sub> and explored as anode materials for lithium-ion batteries to overcome the sever pulverization and the resultant loss of specific capacity caused by the volume expansion of Si during the lithium ions insertion/extraction process. The amorphous carbon coated  $SiO_x$  ( $SiO_x/C$ ) is prepared by using self-assembled Nafion/SiO<sub>2</sub> as the precursor, following by magnesium reduction of  $SiO_2$  and carbonization of Nafion ionomers. XPS, TEM and XRD results indicate that most of  $SiO_x$  was reduced to amorphous  $Si^0$  with small amount of  $Si^{2+}$  and  $Si^{4+}$ , which are coated by amorphous carbon. As the amorphous carbon coating can effectively reduce the volume change of  $SiO_x$ -based material during the charge-discharge process and enhance the electronic conductivity of the material, the Nafion-derived amorphous carbon coated  $SiO_x$  exhibits improved reversible capacity, better cycling stability and rate capability than that of pure amorphous carbon at Si loading below 10 wt%

Keywords: Self-sacrificed, amorphous carbon-coated, silicon/C, anode materials, lithium-ion battery

## **1. INTRODUCTION**

Lithium-ion batteries(LIBs) are widely used in portable electronic products such as cell phones, laptops and digital cameras[1, 2] because of their high capacity, fast reversible charge-discharge, high coulomb efficiency and etc[3, 4]. With the advancement in science and technology, the extended application of LIBs from electronic terminal equipment to the field of electric vehicles technologies becomes an inevitable trend[5-7]. However, an increase of specific electrode capacity is required to achieve reasonable energy and power densities for these applications, which is by far still a challenge

for the lithium ion battery industry[8-10]. Thus, the development of novel electrode material is necessary to meet these challenges.

Currently, silicon has been considered as one of the most promising anode materials for the next-generation of LIBs because of its high theoretical specific capacity (4200 mAhg<sup>-1</sup>, which is ten times higher than that of the commercial graphite, ~372 mAh g<sup>-1</sup>[11], abundance reserves in earth and low working potential for lithium insertion and extraction (<0.5 V vs Li/Li<sup>+</sup>)[12, 13]. However, several intrinsic drawbacks of silicon make it still far from commercialization as LIB anodes. Among them, the large volume expansion (>300%) in silicon anode during the lithium ions insertion/extraction process is considered to be one of the critical challenges. The volume expansion of silicon brings a cracking and cause a severe pulverization in silicon anode, which results in a rapid decrease in the specific capacity with rather low stable performance[14]. In addition, the large volume change also leads to the continuous formation of unstable solid electrolyte interphase (SEI), which consumes a considerable amount of electrolyte and lithium in Li-battery[15]. As a result, the side effect from the volume expansion of silicon has to be solved before its commercialization.

To overcome the volume expansion of Si during the lithium ions insertion/extraction process, researchers have done plenty of explorations on the route to decrease the stress generated from the volume expansion of silicon. Nano-structured Si electrodes is one of the potential solutions as nanosized Si could accommodate the mechanical stain and shorten the transmission distance of lithium ions during lithiation and delithiation process[16]. As a result, nano-silicon with various morphology, including nanoparticles[17, 18], nanowires[19], nanotubes[20], porous silicon[21] were synthesized and studied as anode materials for LIBs. In addition, X.H. Liu [22] studied the lithiation of individual silicon nanoparticles in real time with an in situ transmission electromicroscopy and discovered a critical particle diameter (~150 nm), below which the particles neither cracked nor fractured upon first lithiation. Besides that, another effective way is to introduce conductive buffer matrixes (i.e., Si/amorphous C[23, 24], Si/graphene[25], Si/ conductive polymers[26]) that could highly improve the conductivity of silicon material and prevent the rapid loss in the capacity of LIBs[16]. However, the interaction between nano-silicon and carbon base is still a concern if one wants to synthesis Si/C with high cycle and rate performance. This has been confirmed by X. Zhou[27] who concluded that the physical mixed Si-rGO had low cycle and rate performance because of the lack of chemical bonding between Si and rGO.

Perfluorosulfonic polymers such as Nafion, which was constituted by a flexible and hydrophobic carbon main chain (i.e.,  $-[(CFCF_2)(CF_2CF_2)_m]-)$  and large amount of side chains terminated with a hydrophobic  $-SO_3H$  group, i.e., $-(OCF_2(CF_3)OCF_2CF_2SO_3H)$ , has been intensively studied as proton exchange membrane fuel cell[28]. Moreover, since the  $-SO_3H$  group in Nafion molecular are negatively charged ( $-SO_3^-$ ) in aqueous condition, and the surficial charge of nano-oxides can be modified by adjusting the pH of preparation solution, electrostatic self-assembly method was developed by researchers to synthesize Nafion/nano-oxides composites, such as Nafion/SiO<sub>2</sub>[29], Nafion/TiO<sub>2</sub>[30], Nafion/CeO<sub>2</sub>[31]. In the procedure of electrostatic self-assemble, the positively charged oxides precursors were coated by the flexible and negatively charged Nafion ionomers, resulting a small and uniform oxides particle size. For example, H. Tang[29] prepared Nafion/SiO<sub>2</sub>

through a self-assembly process. The SiO<sub>2</sub> particles in the composite could be downsized to  $2.8\pm0.5$  nm due to the strong interaction between SiO<sub>2</sub> and Nafion limits the growth of SiO<sub>2</sub>.

Since amorphous carbon usually are obtained by pyrolysis of polymer materials at high temperature, the strong interaction between Nafion and  $SiO_2$  in the self-assembled Nafion/SiO<sub>2</sub> could offer a small SiO<sub>2</sub> size. More interestingly, Nafion is the ideal source for the in-situ formation of amorphous carbon coated silica. In this work, self-assembled Nafion/SiO<sub>2</sub> was used as the precursor for the synthesis of amorphous SiO<sub>x</sub>/C anode material for LIBs and excellent electrochemical performance of the anode was demonstrated.

### 2. EXPERIMENTAL SECTION

## 2.1 Preparation of amorphous carbon coated $SiO_x$ composite

In this study, Nafion-derived carbon coated  $SiO_x$  sample (SiO<sub>x</sub>/C) with different Si loadings, including 2 wt%, 5 wt%, 7 wt%, 10 wt% and 15 wt% of Si, were prepared by self-sacrifice process, including: self-assembly process, thermal reduction of SiO<sub>2</sub> and carbonization of Nafion ionomers, as it was illustrated in Figure 1.

In detailed, Nafion/SiO<sub>2</sub> precursor was prepared through electronicstatic self-assembly process as described previously[29]. Briefly, Nafion ionomers (EW=1000, 5 wt% Nafion, DuPont, USA) were transferred to N-methyl-2-pyrrolidone (NMP, Fluka) solution by distilling a mixing solution containing 100 mL Nafion and 100 mL NMP till the mixture solution temperature reached 203 °C. Before the transferring, the pH of Nafion solution was adjusted to 7 with NaOH under magnetic stirring. Tetraethoxysilane (TEOS, Shanghai Reagent Co. Ltd.) was weighted and dissolved into 50 mL Nafion/NMP mixture using a homogenizer. Then, diluted HCl solution (37 wt% HCl, Shanghai Reagent Co. Ltd.) was dropped into the mixture under vigorous stirring. During this step, TEOS was expected to hydrolysis with nano SiO<sub>2</sub> formation. Meanwhile, positively charged nano SiO<sub>2</sub> was electronically adsorbed on the negatively charged  $-SO_3$  sites of Nafion ionomers as it was presented in Figure 1. Self-assembled Nafion–SiO<sub>2</sub> precursor were obtained after the mixture was stirred for 8 h and dried overnight in a vacuum oven at 90 °C.

After that, the Nafion/SiO<sub>2</sub> precursor and metallic magnesium powder were uniformly mixed with a Si/Mg molar ratio of 1:2, and calcined at 650 °C for 4 h under nitrogen atmosphere with a heating rate of 5 °C min<sup>-1</sup>. After cooling down to room temperature (RT), the product was washed in 1 mol L<sup>-1</sup> HCl solution for 12 h to remove MgO and separated from the liquid by centrifugation and subsequently washed with ultra-pure water and centrifuged (five times), followed by drying under vacuum at 80 °C for 24 h. After that, the solid product was transferred into quartz boat and heated to 900 °C under nitrogen atmosphere for 3h, with a heating rate 5 °C min<sup>-1</sup>. Finally, SiO<sub>x</sub>/C sample was obtained with different normal Si loading 2, 5, 7, 10 and 15 wt%. For comparison, the pure Nafion-derived carbon coating was prepared under the same procedure without TEOS as the reference.



**Figure 1.** Scheme for the formation of the Nafion-derived carbon coated SiO<sub>x</sub>. The inset shows the STEM-HAADF and EDS of SiO<sub>x</sub>/C sample

### 2.2 Material characterizations

### 2.2.1 Physical characterizations

The crystal structure of all prepared samples was studied by a X-ray diffractometer (D8 Bruker Company) using the Cu K $\alpha$  radiation (1.5418 Å; 40 kV and 15 mA) with the angle range of 10 - 80° under air. The morphology of prepared samples was imaged by transmission electron microscopy (TEM, JEM-2100F, Japan) operating at 200 kV and statistical analysis of the SiO<sub>x</sub> particle size distribution (PSD) in SiO<sub>x</sub>/C samples was obtained by counting more than 100 particles, using the software Image J. The average particle diameter was deduced from the equation  $d_m = \sum n_i d_i^3 / \sum n_i d_i^2$  where  $n_i$  is the number of the particles of diameter  $d_i$ . The state of carbon was analyzed by Raman spectrometer (Raman, In Via, RENISHAW, 514.5 nm wavelength) and X ray photoelectron spectroscopy (XPS, Thermo Scientific ESCALAB 250Xi, Al Ka, 1486.6 E) was employed in obtaining the further information abut  $C_{1s}$  and  $Si_{2p}$ . The decomposition behavior of the samples was analyzed by the thermogravimetric analysis (TGA, a Seiko 320 thermobalance) under air (20 mL min<sup>-1</sup>) from room temperature to 800 °C with a heating rate of 15 °C min<sup>-1</sup>.

## 2.2.2 Electrochemical characterizations

The electrochemical properties of all samples were tested using coin cell (CR2016), which was assembled in the glove box protected by argon.

As for the assemble of coin cell, a mount of weighed carboxymethyl cellulose (CMC) was firstly poured into a small beaker of water and stirred vigorously for 10 h to homogeneity.  $SiO_x/C$  composite and acetylene black were mixed by grinding dish for 1 h. Then the mixture was added to the CMC solution under magnetic stirring for 10 hours to prepare a slurry, which contains  $SiO_x/C$  composite: acetylene black: CMC = 6: 3: 1. Then, the slurry was coated with a predetermined thickness on the pre-cleaned copper foil, and dried in a vacuum oven at 120 °C for 24 h. The electrolyte was 1 M LiPF<sub>6</sub> in ethylene carbonate (EC)/ ethylmethyl carbonate (EMC)/ dimethyl carbonate (DMC) with a volume ratio of 1:1:1, the counter electrode was lithium metal and the separator membrane was a celgard 2400 microporous polypropylene.

The electrochemical performance of the as-prepared  $SiO_x/C$  were studied by galvanostatic charge-discharge cycling tests and electrochemical impedance spectroscopy (EIS) with the pure carbon coating as a reference. Galvanostatic charge/discharge cycles and rate performance were tested at different current densities on a Land battery test system in the voltage region between 0.01 and 2 V. The EIS was tested with the frequency range from 0.01 Hz to 106 Hz and the voltage amplitude of 5 mV at open circuit potential (OCP).

#### **3. RESULTS AND DISCUSSION**

3.1 The physical properties of the materials



**Figure 2.** Thermogravimetric analyses was performed on the prepared SiO<sub>x</sub>/C sample with different Si loadings;

As confirmed by TGA analyzation (Figure 2), the pure carbon coating can be fully oxidized and its weight percentage decreased rapidly from ~100% to 0 in the temperature range between 400 and 700 °C under air, thus the silicon loading in SiO<sub>x</sub>/C samples could be evaluated by TGA method. It can be seen that the weight loss of the rest SiO<sub>x</sub>/C samples between 400 and 700 °C was resulted from the combustion of carbon coating, and it agrees with the TGA curve of the pure Nafion-derived carbon reference. The silicon loading in the Si/C samples determined by the residual mass was highly consistent with the expected Si loading (2, 5, 7 10 and 15 wt%, respectively).

Figure 3 shows the X-ray diffraction (XRD) patterns of all the SiO<sub>x</sub>/C samples. The pure carbon coating only show two broad peaks, 26.0° and ~43.0°, which are assigned to the (002) and (101) plane of carbon (JCPDS: 65-6212)[23, 25], respectively. The large full width at half maximum (FWHM) indicates that the carbon coating mainly existed in amorphous form. After introducing Si to carbon coating, with loading increased from 2 to 15 wt%, Figure 3 shows that they have similar XRD curves, which was similar to the pure carbon coating. Besides that, no detailed crystal information about SiO<sub>x</sub> can be observed, and it means that either the particle size of silica was too small to be recognized by XRD or the phase of SiO<sub>x</sub> was also amorphous. As a result, further characterization need to be carried on the samples.



Figure 3. XRD of the prepared  $SiO_x/C$  sample with different Si loadings

The structure of the carbon coating in all samples was further analyzed by Raman spectra (Figure 4). In Figure 4, all samples show two main peaks: 1350 cm<sup>-1</sup> (D-bond) and 1590 cm<sup>-1</sup> (G-band). Since the strong peak at ~1350 cm<sup>-1</sup> (D-bond) is associated with the amorphous carbon materials[32], while the weak peak at ~1590 cm<sup>-1</sup> (G-band) is attributed to the vibration of sp<sup>2</sup>-bonded carbon atoms in a 2D hexagonal lattice[33]. In addition, absence of 2D peak, which is an character peak of graphite structure, also indicate that the carbon coating support are composed of two structures of sp<sup>2</sup> and sp<sup>3</sup> hybrids, which implies these samples have low graphitization degrees.



**Figure 4.** Raman of the prepared  $SiO_x/C$  sample with different Si loadings.

To obtain further information about the morphology of the carbon coating and SiO<sub>x</sub>, TEM technique was applied on all SiO<sub>x</sub>/C samples (Figure 5), with pure carbon coating as reference. The carbon coating is transparent while dark points are amorphous SiO<sub>x</sub>. It can be found that SiO<sub>x</sub> particles were coated by the amorphous carbon in all SiO<sub>x</sub>/C samples. As presented in the inset of Figure 5, the particle size of silica are similar, ~75 nm. Besides that, combing with the XRD results (Figure 3), it allows to conclude that the SiO<sub>x</sub> component in SiO<sub>x</sub>/C samples was amorphous.

The chemical states of the samples were further evaluated by X-ray photoelectron spectra (XPS) spectrum (Figure 6). Compared with the pure carbon coating reference, Figure 6a shows that all

SiO<sub>x</sub>/C samples contain F, O, N, C, S and Si elements, the characteristic binding energy of which were 685.7, 531.8, 398.4, 285.8, 164.3 and 100.1 eV, respectively[34]. Heteroatoms such as F, S and N may be from the unevaporated Nafion residual during carbonization thermal treatment in N<sub>2</sub>, while O may come from the adsorbed oxygen on the samples and in SiO<sub>x</sub>. In Figure 6b-f, The peak belongs to SiO<sub>x</sub> component can be divided into Si<sup>0</sup> (99.8 eV), Si<sup>2+</sup> (101.8 eV), Si<sup>4+</sup> (103.6 eV), which was also observed in previous study[35].



**Figure 5.** TEM of the pure carbon coating (a) and the prepared SiO<sub>x</sub>/C sample with different Si loadings: 2 wt% (b), 5 wt% (c), 7 wt% (d), 10 wt% (e), 15 wt% (f).

In addition, the main peak corresponded to  $Si^0$  and other two peaks (i.e.,  $Si^{2+}$ ,  $Si^{4+}$ ) with weak intensity also indicates the fact that  $Si^0$  was the main phase existed in all  $SiO_x/C$  samples. Moreover, the C peaks of all samples can be divided into three peaks: 284.7, 286.3 and 288.2 eV, corresponding to C-C, C-O and C=O groups, respectively (Figure 6g-1). Most of C component in all samples stayed in the form of C-C bond, and only a small number of hydroxyl groups on the surface. The XPS results show a direct evidence that the formation of SiO<sub>x</sub> and amorphous C.



Figure 6. XPS spectra and curve-fitted peak components of pure carbon coating and all  $SiO_x/C$  samples with different Si loadings: (a) Survey spectra; (b-f) High resolution  $Si_{2p}$  spectra; (g-l) High resolution  $C_{1s}$  spectra.





**Figure 7.** Electrochemical properties of  $SiO_x/C$  samples with different Si loadings: (a) the cycling property at 0.2 A g<sup>-1</sup>, (b) the rate performance at different current densities, (c) First charge-discharge curves at 0.2 A g<sup>-1</sup> and (d) the electrochemical impedance plots of all the SiO<sub>x</sub>/C composites.

The stability of the SiO<sub>x</sub>/C samples as anode material for LIBs was evaluated by the cycling performance, which was tested by a repetition of discharging and charging process between 0.01 and 2 V at a current density of 0.2 A g<sup>-1</sup> for 70 cycles, shown in Figure 7a. The pure carbon coating reference shows the lowest initial specific capacity of 295.5 mAh g<sup>-1</sup>, which slightly decreased to around 280 mAhg<sup>-1</sup> after 70 cycles. As for SiO<sub>x</sub>/C samples, the initial specific capacity increased gradually from 384.1 to 750.5 mAh g<sup>-1</sup> with Si loading changed from 2 to 15 wt%. It indicates that the addition of amorphous SiO<sub>x</sub> could largely improve the initial capacity of carbon coating materials as the high theoretical specific capacity of pure silicon (4200 mAh g<sup>-1</sup>). Besides that, the addition of Si decreases the stability of carbon coating (Figure 7a) as may be caused by the large volume evolution (>300%) of SiO<sub>x</sub> during charge-discharge process. However, interestingly, the balance between the specific capacity and stability of the anode could be achieved at silicon loading staying lower than 10 wt%. Specially, for SiO<sub>x</sub>/C with 10 wt% Si, the specific capacity was ~614.5 mAh g<sup>-1</sup> at the beginning of cycling test. During the stability test for 70 cycles, it decreased gradually to ~410 mAh g<sup>-1</sup>, which is still much higher than the initial capacity of the pure carbon coating.

Figure 7b reveals the rate capability of the  $SiO_x/C$  samples at different current densities of 0.2, 0.5, 1, 2 and 3 A g<sup>-1</sup> between 2.0 and 0.01 V versus Li<sup>+</sup>/Li for 10 cycles, with the pure carbon coating as a reference. At low current desity, i.e., 0.2 A g<sup>-1</sup>, the discharge capacity of the cells based on the pure carbon coating and the SiO<sub>x</sub>/C with different Si loadings (2, 5, 7, 10 and 15 wt%) anode at 0.2 A  $g^{-1}$  was 286.9, 324.8, 399.7, 428.8, 431.4 and 432.1 mAh  $g^{-1}$  after 10 cycles, respectively, similar as it was observed in Figure 7a. With an increase of the current density from 0.2 to 3.0 A g<sup>-1</sup>, the reversible capacity decreased gradually. For example, the initial specific capacity of SiO<sub>x</sub>/C with 10 wt% Si sample are 614.2, 435.7, 378.6, 325.4 at 264.4 for the test at current densities of 0.2, 0.5, 1, 2 and 3 A  $g^{-1}$ , respectively. However, for all the samples, the specific capacity becomes more stable with the increase of current density, and SiO<sub>x</sub>/C samples show a higher capacity than that of the pure carbon coating at 3 A g<sup>-1</sup>. It mainly because the amorphous carbon can withstand more external stress, the internal structure becomes very loose, and abundant internal voids can provide sufficient space for the volume change of SiO<sub>x</sub> when SiO<sub>x</sub> reacts with lithium ions to produce volume change. Meanwhile, such a composite structure facilitates infiltration of the electrolyte and shortens the ion transport distance. As a result of these favorable features, the sample exhibits higher lithium storage capacity and stability at high current densities. When the charge-discharge current returned to 0.2 A  $g^{-1}$  again, all SiO<sub>x</sub>/C samples maintained a good stability in  $51 \sim 70$  cycles. Exceptionally, the battery with 15 wt% SiO<sub>x</sub>/C anode shows a serious decay from ~300 to 150 mAh  $g^{-1}$  as maybe the destroyed anode structure resulted in a rapid decline for lithium storage capacity. As a result, it allows to conclude that, at Si loading lower than 10 wt%, the rather high stable specific capacity of SiO<sub>x</sub>/C samples is explained by the protection and promotion effect of the amorphous carbon coating.

Figure 7c shows the first charge and discharge curves of the SiO<sub>x</sub>/C composites at a current density of 200 mA g<sup>-1</sup>. The first discharge capacities (lithium ion insertion) of battery containing SiO<sub>x</sub>/C anode materials with different Si loadings, 0, 2, 5, 7, 10 and 15 wt% Si are around 295.5, 384.1, 479.5, 538.9, 614.5 and 750.5 mAh g<sup>-1</sup>, respectively, meanwhile, the first charge capacity (lithium ion extraction process) were around 270, 322.3, 400.7, 458.1, 553.9 and 653.5 mAh g<sup>-1</sup>, respectively. The first irreversible capacity of SiO<sub>x</sub>/C composites is mainly due to the decomposition of the electrolyte and the formation of a solid electrolyte membrane on the electrode surface.

Silicon loading / wt%	$ m R_S/\Omega$	$R_{SEI}/\Omega$	$R_{CT}/\Omega$
0	5.332	130.8	70.39
2	5.673	134.3	72.75
5	6.014	142.6	75.48
7	6.132	152.4	78.52
10	6.374	168.7	83.33
15	7.019	198.3	92.71

Table 1. Impedance parameters for the SiO<sub>x</sub>/C electrode with different Si loadings after 70th cycles

To further investigate the effect of additional  $SiO_x$  on the electrochemical impedance of the carbon coating, all Li-battery cells were tested by electrochemical impedance spectroscopy (EIS) measurement after 70th cycling test (Figure 7d) with detailed data summarized in Table 1. According to the model of equivalent circuit (inset in Figure 7d), the resistance existed in Li-battery can be divided into three sections[36-38]: the internal contact resistance ( $R_S$ ), the ionic diffusion resistance of Li<sup>+</sup> ( $R_{ESI}$ ) and the charge transfer impedance ( $R_{CT}$ ). Meanwhile, the CPE<sub>1</sub> and CPE<sub>2</sub> represent the capacitance of the SEI layer and the electrode/electrolyte, respectively. The  $Z_w$  is the Warburg diffusion impedance from the lithium diffusion process[39]. As it was reflected in ESI Nyquist curves, the semicircle part of high frequency x-intercept corresponds to the  $R_S$ , the mid-frequency semicircle responds to the  $R_{SEI}$  and the oblique line of the low frequency region is mainly related to  $R_{CT}$ . In Table 1, the pure carbon coating shows that  $R_S$  was around 5.332 Ohm, with  $R_{SEI}$  ~130.8 Ohm and  $R_{CT}$  ~70.39 Ohm. The  $R_S$  of SiO<sub>x</sub>/C samples was similar to that of the pure carbon coating. Besides that, SiO<sub>x</sub>/C samples have higher  $R_{SEI}$  and  $R_{CT}$  than that of the pure carbon coating. The higher Si loading, the larger are  $R_{SEI}$  and  $R_{CT}$  as included in Table 1. It means that the addition of Si component hinders the Li<sup>+</sup> ionic diffusion in SEI layer and the charge transfer in the electrode/electrolyte interphase.

Anode materials	Si loading / wt%	Initial discharging capacity / mAh g <sup>-1</sup>	Initial charging capacity / mAh g <sup>-1</sup>	Initial coulombic efficiencies / %	Final capacity / mAh g <sup>-1</sup>	Capacity retention after 70 cycles /%	Ref
SiO <sub>2</sub> @graphen e aerogel	55.9	1042.7	453.3	43.5%	~300	28.8	[40]
SiO <sub>2</sub> /C fibers	22.1	~750	500	66.7%	465	62	[41]
SiO <sub>x</sub> @C nanorods	41.8	1324	906	68.4%	700	52.8	[42]
Si/SiO <sub>2</sub> @C	80	1763.4	1001.9	56.8	~510	28.9	[43]
SiO <sub>x</sub> /C with different Si loadings	0	295.5	270	91.4	280	94.7	This work
	2	384.1	322.3	83.9	308.2	80.2	This work
	5	479.5	400.7	83.6	331.4	69.1	This work
	7	538.9	458.1	85.0	345.8	64.2	This work
	10	614.5	553.9	90.1	408.5	66.5	This work
	15	750.5	653.5	87.1	270.1	36	This work

**Table 2.** Comparison of the electrochemical properties of the  $SiO_x/C$  materials with earlier reported anode materials for Li-battery

The electrochemical performance of  $SiO_x/C$  based batteries were summarized in Table 1, which also includes some previous similar studies focused on silica/carbon anode materials. Comparison with

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previous study, the low initial capacities (<750 mAh g<sup>-1</sup>) obtained in our study were mainly due to the rather low silica loadings (<15 wt%). However, Si/C samples exhibit a much higher coulombic efficiencies (>80%) as maybe the self-sacrificed process of Nafion molecular provides a high SiO<sub>x</sub> particle dispersion with close interaction between C and SiO<sub>x</sub>. It was also verified by the similar electrochemical impedance between SiO<sub>x</sub> samples and C base (Table 1). Besides that, from the capacity retention after 70 cycles (Table 2), SiO<sub>x</sub> coated by the amorphous carbon derived from Niafion (SiO<sub>x</sub>/C) performed in this study also shows a higher cycles retention (>60%) than the previous similar study that focused on SiO<sub>x</sub>/C materials. For example, the capacity of SiO<sub>2</sub>@graphene aerogel based Li battery only has ~28.8% capacity retention, which is twice lower than that presented in this study.

# 4. CONCLUSION

In this paper, amorphous carbon coated  $SiO_x$  is prepared through a facile self-sacrificed synthesis approach and used as anode material for Lithium-ion batteries. The experimental Si loadings in the SiO<sub>x</sub>/C samples, as verified by TG test, are highly in agreement with the theoretical value, demonstrating the high efficiency of the approach. XRD and Raman measurements reveals that the carbon base that derived by Nafion carbonization was amorphous. Combining with TEM, XRD and XPS, it can be concluded that most of SiO<sub>2</sub> was reduced to Si<sup>0</sup>, with small amout of Si<sup>2+</sup> and Si<sup>4+</sup> formation, through the magnesium reduction treatment, and the SiO<sub>x</sub> was also mainly stays in amorphous. As for the electrochemical property, SiO<sub>x</sub>/C show slightly higher electrochemical impedance than that of pure carbon coating, but the addition of Si brings a larger reversible capacity, better cycling stability and higher rate capability than pure carbon when Si loading is no more than 10 wt%. The present results demonstrate the promises of the self-assembled SiO<sub>x</sub>/C composite for lithium-ion batteries applications.

However, the particle size of  $SiO_x$  is slightly large (i.e., ~75 nm in 10 wt% Si/C), further work could be explored on the preparation of Nafion-derived carbon coated  $SiO_x$  with high Si loading and small particle size, which might show a rather high stability performance.

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