# Electrochromism in Self-Assembled Porous Thin Films of Hexagonal Tungsten Trioxide Microspheres Prepared by Aqueous Chemical Growth

B.T. Sone<sup>1,2\*</sup>, S.N. Mailu<sup>2</sup>, T. Malwela<sup>4</sup>, E. Coetsee<sup>5</sup>, H.C. Swart<sup>5</sup>, E.I. Iwuoha<sup>2\*</sup> and M. Maaza<sup>1,3\*</sup>

<sup>1</sup>Nanosciences African Network (NANOAFNET), Materials Research Department, iThemba LABS-NRF, P.O. Box 722, Somerset West, 7129, South Africa
 <sup>2</sup>SensorLab, Chemistry Department, University of the Western Cape, Private Bag X17, Bellville, South Africa
 <sup>3</sup>UNESCO-UNISA Africa Chair in Nanosciences-Nanotechnology, College of Graduate Studies, University of South Africa, Muckleneuk Ridge, P.O. Box 392, Pretoria, South Africa
 <sup>4</sup>DST/CSIR National Centre for Nano-Structured Materials, 1-Meiring Naude Road, Brummeria, Pretoria 0001, South Africa
 <sup>5</sup>Physics Department, University of the Free State, P.O. Box 339, Bloemfontein, 9300, South Africa

Received: 1 October 2013 / Accepted: 22 February 2014 / Published: 23 March 2014

WO<sub>3</sub> thin films were grown by self-assembly, at 80-90 °C, on un-seeded conductive SnO<sub>2</sub>:F-glass through the method of Aqueous Chemical Growth (ACG). The thin films produced contained urchinlike microspheres of WO<sub>3</sub>, 1-2  $\mu$ m thick. Scanning Electron Microscopy (SEM) and Transmission Electron Microscopy showed these microspheres to consist of a thick central core on which grew hairlike protrusions 200-400 nm long and 50-100 nm wide. X-ray Diffraction analysis, post annealing at 500 °C, revealed WO<sub>3</sub> to be in the hexagonal phase while X-ray Photoelectron Spectroscopy confirmed the presence of W in the W<sup>6+</sup> state. Focused Ion Beam-Field Emission SEM revealed a porous thin film grown for 18-24 h, averagely 3  $\mu$ m thick, that offers a wide surface area and shorter diffusion path-ways for H<sup>+</sup> insertion in a 0.1M H<sub>2</sub>SO<sub>4</sub> electrolyte. The fairly fast optical switching times (15-25s) observed at a scan rate of 50 mV/s for such highly crystalline thin films can be attributed to the porous nature of the films. A diffusion coefficient for H<sup>+</sup> of 1.66 x 10<sup>-11</sup> cm<sup>2</sup>/s and 1.33 x 10<sup>-11</sup> cm<sup>2</sup>/s at 50 mV/s was observed during H<sup>+</sup> insertion/de-insertion. We propose that by reducing film growth time, film thickness can be reduced rendering the method a viable one for producing WO<sub>3</sub> thin films amenable to electrochromic devices.

**Keywords:** Thin solid films; X-ray photoelectron spectroscopy; Cyclic voltammetry; Electrochromism; Coloration efficiency.

# **1. INTRODUCTION**

Tungsten trioxide (WO<sub>3</sub>) is an n-type, wide band gap (2.7 eV) semiconductor metal oxide whose I-V characteristics, electrochromic and gasochromic properties have been widely investigated for use as thin films and powders (bulk) in electrochromic windows, panels, rear view mirrors, photocatalysts, and gas sensors [1,2,3]. Electrochromism is a reversible and visible change in optical properties a material undergoes when an applied voltage of a few millivolts/volts is reversibly applied to the material [2]. The phenomenon in WO<sub>3</sub> arises when WO<sub>3</sub> electrode material (pale yellow/transparent) is cathodically reduced through insertion of an electron (e<sup>-</sup>). Simultaneous intercalation of its interstitials with a metal cation M (M = H<sup>+</sup>, Li<sup>+</sup> or Na<sup>+</sup> ions) helps in maintaining electrical neutrality in the WO<sub>3</sub> electrochrome while forming a blue colored bronze M<sub>x</sub>WO<sub>3</sub> [4,5].

WO<sub>3</sub> (pale yellow/white) +  $xM^+ + xe^- \leftrightarrow M_xWO_3$  (blue)

(1)

This entirely reversible process schematically represented in equation (1) above, takes place primarily at the surface of the electrochromic material [6]. Being a phenomenon that occurs primarily at the surface of materials with electrochromic potential it is therefore likely to be influenced by processes that result in the increase of the effective surface area available for  $H^+/e^-$  insertion/intercalation. Increase in surface area such as is observed in nanostructured/porous thin films can enhance the process of intercalation in tungsten trioxide thin films [7, 8].

As thin films, several methods have been used to prepare WO<sub>3</sub> among which are thermal evaporation [9], chemical vapour deposition [4,9], electrodeposition [4,9], spray pyrolysis [9] electron beam evaporation [1], magnetron sputtering [9], sol-gel methods [2,4], etc. In recent times [7] emphasis has been on the preparation and use of nanostructured materials in investigating different chemical and physical phenomena such as electrochromism and gas sensing, this because reduction of particle size in such materials gives rise to quantum confinement effects; increase in surface active sites, grain boundaries, electronic band gaps, etc, all of which give rise to new or enhanced properties for these materials. Soft chemistry methods such as Aqueous Chemical Growth (ACG) [10] make it possible to create such porous nanostructured materials with novel or enhanced properties. The method has been used to synthesize a wide variety of metal oxides [10] and gives the opportunity of designing metal oxide thin films containing micro/nanostructures whose size, shape and orientation can be rationally influenced by varying parameters such as concentration, pH and the temperature of the metal-oxide precursor solutions. The method as described here has however not been previously used to prepare WO<sub>3</sub> thin films on FTO substrates. We report here, the use of this soft chemistry method of Aqueous Chemical Growth for self-assembly of porous WO<sub>3</sub> microsphere-containing thin films on unseeded FTO-coated glass, this at low-temperatures (80-90 °C) using as precursor solution peroxotungstic acid prepared by the action of H<sub>2</sub>O<sub>2</sub> on W powder. To the best of our knowledge synthesis of WO<sub>3</sub> thin films on un-seeded FTO substrates at such low temperatures of 80-90 °C is unreported. The method has as advantage the fact that: (i) substrates used did not require seeding or surface modification; (ii) it does not require the use of metal salts; (iii) there is no use of structure directing agents or surfactants rendering the process environmentally friendly, with produced films suffering from little or no contamination; and (iv) the equipment required for the deposition – a simple drying oven, a glass bottle with a screwable cap, a furnace, are easily obtainable at low cost.

# 2. EXPERIMENTAL

Pale yellow solutions of aged Peroxotungstic acid (PTA) with pH = 2.21 were prepared through the action of 30%  $H_2O_2$  (80 mL) on W powder (3g) in a cool water bath (5-15 °C), after which was added to it iso-propanol and de-ionised water in the 1:1 v/v ratio.



**Scheme 1.** Schematic representation of the synthesis of WO<sub>3</sub> thin films on F:SnO<sub>2</sub> and plain glass substrates, by Aqueous Chemical Growth.

Aging was carried out for a period of 7-10 days giving time for the decomposition of residual  $H_2O_2$ . F-doped SnO<sub>2</sub>-glass (FTO) substrates (1 mm thick, 10 mm x 20 mm), cleaned by sequential ultrasonication in solutions of MeOH, acetone and de-ionised water for periods of 5 min each then dried with N<sub>2</sub>, were placed in a tightly clamped Teflon holder designed to hold tightly, four FTO substrates with their non-conductive sides back-back. This was placed at an angle 70° to the horizontal in Schott<sup>®</sup> bottles containing the aged PTA solution and was then tightly sealed and placed in a preheated (80-90 °C) laboratory oven for 6-24 h. Thin films, greenish-yellow in color (see Scheme 1 above), were seen to grow on the FTO-glass substrates. Upon removal these were washed gently with distilled water and dried in air after which annealing was carried out in air at temperatures of 500 °C, for 1-2 h so as to improve adhesion of the as-synthesized films to the FTO substrates.

SEM images of the thin films' surface morphologies were obtained using a LEO-Stereo Scan 440 Scanning Electron Microscope (SEM) to which was coupled an Electron Dispersive X-ray Spectrometer used for elemental analysis. Film thickness was determined using an Auriga Cobra Focused Ion Beam – Field Emission SEM (FIB-FESEM). X-ray Diffraction Analysis (XRD) was

obtained with the Bruker AXS D8 ADVANCE X-Ray diffractometer, using Cu K $\alpha$  radiation,  $\lambda$  =1.5418 Å. X-ray tube current and voltage were set at 40 mA and 40 kV respectively. Transmission Electron Microscopy (TEM), High Resolution TEM (HRTEM) and Selected Area Electron Diffraction (SAED) were carried out on the samples to further assess the morphology and crystal structure of WO<sub>3</sub> in the films. X-Ray Photoelectron Spectroscopy (XPS) for surface elemental analysis was carried out using PHI 5000 Versaprobe – Scanning ESCA Microprobe. The XPS survey scans and high resolution core level spectra were obtained with a 100  $\mu$ m 25 W, 15 kV, Al monochromatic x-ray beam.

Optical characterization of the WO<sub>3</sub>-FTO substrates before and after annealing and post-H<sup>+</sup> intercalation was carried out in air, at room temperature, this within the 200-1100 nm spectral range (UV-Vis-NIR region), using a CECIL 2021 series spectrophotometer. H<sup>+</sup> intercalation (via electrochemical redox reactions) on a calcined sample of WO<sub>3</sub>-FTO was carried out in a degassed (10-15 mins, using N<sub>2</sub>) 0.1M H<sub>2</sub>SO<sub>4</sub> liquid electrolyte using a three electrode electrochemical cell powered by a BAS100W electrochemical workstation from BioAnalytical Systems Incorporated (Lafayette, USA), with WO<sub>3-x</sub>-FTO as the working electrode, Pt wire as the counter electrode and Ag/AgCl (sat'd KCl) as the reference electrode.

# **3. RESULTS AND DISCUSSION**

# 3.1. Surface morphology by SEM, TEM, FIB-FESEM



**Figure 1.** SEM image of microspheres in WO<sub>3</sub> thin films on FTO (a) 30,000 X (b) 100,000 X; (c) TEM image of WO<sub>3</sub> microsphere (d) TEM image of hair-like protrusions on microspheres.

#### Int. J. Electrochem. Sci., Vol. 9, 2014

SEM (Fig. 1a,b) and TEM (Fig. 1c,d) revealed that urchin-like microspheres with hair-like protrusions were formed on the FTO-coated glass substrates. The microspheres whose diameters were generally in the 1-2  $\mu$ m range with hair-like protrusions, consisted of a thick central core, non-transparent to high voltage electron beams in a TEM around which grew the hair-like nanoscaled protrusions/rods, 20-50 nm in thickness and 200-500 nm in length. Figures 2a and 2b show the FTO surface before and after growth of the WO<sub>3</sub> thin film. FIB-FESEM (Fig. 2) on a typical sample showed the WO<sub>3</sub> thin film to be porous with an average thickness of 3.14  $\mu$ m. The thickness of the F-doped SnO<sub>2</sub> layer was observed to lie in the range of 650 – 725 nm. Other results not shown here reveal WO<sub>3</sub> film thicknesses to range between 1.9  $\mu$ m – 3.0  $\mu$ m.





**Figure 2.** (a) SEM of bare FTO before ACG; (b) SEM of WO<sub>3</sub> coated FTO after ACG; (c) FIB-SEM micrograph showing thickness of a porous WO<sub>3</sub> thin film (~3 μm) on FTO (~0.7 μm thick).

#### Int. J. Electrochem. Sci., Vol. 9, 2014

#### 3.2. Crystal structure determination using XRD, HRTEM and SAED

XRD (Fig. 3) analysis showed WO<sub>3</sub> in the studied thin film was primarily in the hexagonal phase with strong reflections in the (100), (001), (110), (200), (201), (220), (221), (311), (401) planes that could be indexed to JCPDS Card no:01-072-1147. The formation of hexagonal WO<sub>3</sub> through other sol-gel based methods, after annealing, has been widely reported [9].



Figure 3. XRD pattern of thin film of hexagonal mesoporous WO<sub>3</sub> urchin-like microspheres on FTO.

XRD suggested that though the film contained WO<sub>3</sub> in an amorphous phase it was predominantly crystalline. From HRTEM (Fig. 4a) the lattice fringes were estimated to be 0.304 nm. This is close to the value for the interplanar spacing between the (200) planes in hexagonal WO<sub>3</sub>. Bright, regular diffraction spots observed through SAED (Fig. 4b) carried out on the hair-like protrusions confirm crystallinity of WO<sub>3</sub>, with diffraction spots that can be ascribed to reflections from the (200) and (201) planes of hexagonal WO<sub>3</sub>. Streaks observed in the electron diffraction patterns can be ascribed to the existence of planar defects within the hair-like nanoscale protrusions. In hexagonal WO<sub>3</sub>, W atoms are octahedrally coordinated with O atoms to form WO<sub>6</sub> octahedra which join by sharing O corner atoms. Open channels within the octahedra provide interstitial sites for ion insertion [5,6].



**Figure 4.** (a) HRTEM depicting lattice fringes on nanosized hair-like protrusion; (b) SAED on single crystal of a WO<sub>3</sub> hair-like protrusion.

# 3.3. Elemental analysis via Electron Dispersive X-Ray Spectroscopy and X-Ray Photoelectron Spectroscopy (XPS).

Electron Dispersive X-ray Spectroscopy (EDS) (Fig. 5) showed that the thin film consisted primarily of W and O with contributions of Sn coming from the surface of the F-doped  $SnO_2$  coating on glass.



Figure 5. EDS spectrum of WO<sub>3</sub> thin film on FTO suggesting the presence of Sn.



**Figure 6.** Survey scan of WO<sub>3</sub> thin film on FTO confirming the presence of Sn at the surface of the film.



**Figure 7.** High resolution spectra of WO<sub>3</sub> thin film showing (a) binding energy for O1s energy level of the O atom (b) binding energies for the W 4f energy level of the W atom.

Qualitative XPS survey scan analysis (Fig. 6) of the annealed films carried out in the binding energy range of 0-1400 eV confirms the presence of W, O and Sn in the samples with the W/O ratio being 1:2.82. This indicates that  $WO_3$  is sub-stoichiometric, a state attributed to the formation of oxygen ion vacancies during annealing, a condition known to enhance electrochromism in  $WO_3$  [4].

High resolution core level spectra (Fig 7a) showed doublet peaks at binding energies of 35.5 eV and 37.6 eV for W  $4f_{7/2}$  and W  $4f_{5/2}$  configurations that confirm the existence of W metal in W<sup>6+</sup> state.

The core level spectrum for oxygen in the O1s configuration ascribes for the broad peak observed at 530.3 eV (Fig. 7b). The occurrence of Sn in both EDS and XPS spectra could be ascribed to initial dissolution of  $SnO_2$  due to acid attack prior to and during the nucleation of  $WO_3$  particles or the possible migration of  $Sn^{2+}$  during heat treatment, from the FTO layer into the  $WO_3$  layers. We believe that the formation of microspheres instead of nanoplatelets as was often observed when we prepared tungsten trioxide thin films on plain glass at low pH [11], may be due to a seeding effect that the rough surface of FTO has on the growth of  $WO_3$  particles.

## 3.4. Optical Characterization using UV-VIS-NIR Spectrophotometry

Optical transmission in WO<sub>3</sub> coatings (Fig. 5a) formed after 18-24 h had a maximum transmission of 4-6 % while WO<sub>3</sub> coatings self-assembled on FTO in 2-12 h period had maximum transmissions of 30 % in the IR region with sharp UV cut-offs at about 350 nm for all the films. Low transmissions (Fig. 8a) could be ascribed primarily to diffuse reflectance at the WO<sub>3</sub>/SnO<sub>2</sub>/glass boundaries and the effects of non-specular light scattering caused by the highly crystalline, granular and porous WO<sub>3</sub> thin films.



**Figure 8.** (a) Transmission through WO<sub>3</sub> thin film on FTO compared to that of bare FTO; (b) Optical band gap estimates of bare FTO and WO<sub>3</sub> coated FTO by ACG.

Already light scattering effects in the large grains present in the SnO<sub>2</sub>:F layer resulted in reduced transmissions of 60% in the visible range which is at least 20-25% less than the transmission through plain glass substrates, often > 85%. Using Tauc's relation [12] for indirect semiconductors (see eqn. 2) for which  $\alpha$  = absorption coefficient, *A* is a constant associated to fundamental band-band transitions, hv = energy of the incident photons and  $E_g$  is the value of the optical or electronic band

gap,  $E_g$  for FTO substrates was calculated to be 3.52 which is in agreement with the optical band gap value of pure glass [13] and close to the optical band gap value of SnO<sub>2</sub> (3.6 eV).

$$(\alpha hv) = A(hv - E_g)^{1/2}$$
<sup>(2)</sup>

The optical band gap for the FTO-glass coated with WO<sub>3</sub> thin film by ACG (Fig. 8b) was estimated following the same treatment to be 0.85 eV in a thin film of 3  $\mu$ m. This value is close to the computational value for the optical band gap of tetragonal WO<sub>3</sub> [2] suggesting that WO<sub>3</sub> in the thin film investigated was both in the tetragonal and hexagonal phase. We however believe that the anomalous band gap observed for the film investigated in Fig.8b is primarily due to reduced transmittance resulting from the scattering effects of large grains in the highly crystalline sample and only secondarily due to high film thickness. Results reported by E.Ozkan et al [14] support this argument, indicating that crystallization in WO<sub>3</sub> samples annealed at high temperatures causes a narrowing of the optical band gap.

#### 3.5. Electrochromism via cyclic voltammetry

Cyclic Voltammetry (Fig. 9) was carried out on the WO<sub>3</sub> coated FTO substrate in a 0.1M  $H_2SO_4$  solution, between potentials of -1000 mV to +1000 mV with scan rates ranging from 50-200 mV/s. Percentage transmission (%T) measurements using a UV-Vis-NIR spectrophotometer were carried out *ex-situ* post cyclic voltammetry. When compared to bare FTO (dashed red line) the presence of WO<sub>3</sub> on FTO allowed for high H<sup>+</sup> intercalation and high charge transfer as porous WO<sub>3</sub> coated-FTO with its high surface area incorporates much higher charge (346.541 mC) than does bare FTO (0.129 mC). The application of a cathodic potential brought about simultaneous insertion of H<sup>+</sup> ions and electrons into the WO<sub>3</sub> matrix resulting in the formation of a tungsten bronze which was intensely blue colored (Fig. 10b). The observed color change from semi-transparent to deep blue may be ascribed to optical intervalence charge transfers that take place as electrons hop between W<sup>VI</sup> and W<sup>V</sup> metal ions post the double insertion of electrons and protons into interstitial sites present in WO<sub>6</sub> octahedra [4]. Oxidation (anodic scan) resulted in de-insertion of the H<sup>+</sup> and e<sup>-</sup> yielding a semi-transparent thin film. When out of the electrolyte the intensely blue colored WO<sub>3</sub> thin film bleached in under 1 h returning to the traditional pale yellow color of bulk WO<sub>3</sub>.

Optical switching on application of a redox potential was visually observed to be 15-25 seconds at a scan rate of 50 mV/s, moving to and fro the maximally bleached and colored states. Coloration rates were observed to be slower than the bleaching rates. With all optical transmission measurements having been obtained *ex situ*, maximum transmission modulation ( $\Delta T$ ) between the coloured and the bleached states for the thin film investigated in Fig. 10a, amounted to ~ 8.74% at 434 nm, 6.92% at 629 nm, 9.48% at 700 nm and 14.49% in the Near IR (950 nm). In the colored state a gentle decrease in transmittance, typical of WO<sub>3</sub> thin films in the polycrystalline state is observed as one moves from the visible to the Near IR region. This is as reported in literature [14-18].

By scanning from a negative potential of -1 V to a +1 V and back (Fig. 9), the anodic peak potential as well as the anodic peak current ( $i_{pa}$ , in brackets) were observed to increase from 519.6 mV (0.022 A) to 558.3 mV (0.028 A) and then 705 mV (0.031 A) with increase in scan rates from 50 mV/s, 150 mV/s to 200 mV/s.

Cathodic peak current/spike current [14] ( $i_{pc}$ ) on the reverse scan were observed to increase from – 0.0248 A at a scan rate of 50 mV/s to – 0.0424 A at a scan rate of 150 mV/s, after which a drop to -0.0273 A was registered at 200 mV/s. The drop in the maximum cathodic current at 200 mV/s can be ascribed to the partial retention of previously intercalated H<sup>+</sup> ions during the preceding 150 mV/s scan which then limits the amount of H<sup>+</sup> that can be further inserted into the WO<sub>3</sub> film. It may also be accounted for by the partial disintegration of the WO<sub>3</sub> film into the electrolyte of low pH as a result of dissolution.



**Figure 9.** Cyclic Voltammetry for WO<sub>3</sub> thin films on a FTO substrate in a 0.1M H<sub>2</sub>SO<sub>4</sub> electrolyte, at scan rates of 50 mV/s, 150 mV/s and 200 mV/s.

Insertion charges ( $Q_c$ ) were observed to decrease from 172.63 mC at 50 mV/s to 55.22 mC and 59.15 mC at 150 mV/s and 200 mV/s respectively. De-insertion charges ( $Q_a$ ) were observed to follow the same trend moving from a maximum of 174.10 mC at 50 mV/s to 56.40 mC and 70.16 mC at 150 mV/s and 200 mV/s respectively. The drop in  $Q_a$  and  $Q_c$  can be accounted for as due to partial retention of charge during the preceding discharge cycles. The partial retention of previously

intercalated H<sup>+</sup> ions may be explained by the possible formation of a stabilized phase of H<sub>2</sub>WO<sub>4</sub> which is not easily reversed. C-K Wang et al [19] confirm the degradation of electrochromic properties in Li<sup>+</sup> intercalation of amorphous WO<sub>3</sub>, as a result of the formation of an irreversible phase of Li<sub>2</sub>WO<sub>4</sub>. The possibility of the occurrence of a similar scenario in the case of the H<sup>+</sup> intercalation of hexagonal-WO<sub>3</sub> reported here should not be ruled out but needs to be proven. Granqvist [9] makes mention of the possibility of the insertion of a second hydrogen atom into a WO<sub>3</sub> crystal already containing one hydrogen atom. This, he argues from a theoretical point of view, is possible since the H atom in HWO<sub>3</sub> pseudo-cubic lattice is not centrally placed but rather close to the O atom such as to form a hydroxide (O-H) bond with a maximum bond length,  $d_{OH} = 1.1$  Å. This allows for the insertion of a second H atom which can form another O-H bond that would add greater stability to the previously formed HWO<sub>3</sub> lattice. De-inserting a H<sup>+</sup> from energetically stabilized H<sub>2</sub>WO<sub>4</sub> lattice would require greater energy and so too inserting a H<sup>+</sup>, hence the observed reduction in insertion and de-insertion charges at 200 mV/s.

The partial disintegration of the  $WO_3$  thin film may also be explained as being a consequence of stresses set up within the films following structural expansions hexagonal  $WO_3$  undergoes as a result of H<sup>+</sup> insertion into the trigonal and hexagonal tunnels (channels) of its 3 and 6-membered rings [9].



Figure 10. (a) Optical transmission in colored and bleached state, obtained *ex situ*; (b) Digital photograph of  $WO_3$ -FTO substrates (inset) showing  $WO_3$  in the bleached (pale yellow, left) and colored state (blue, right).

The  $Q_c/Q_a$  values decrease from 0.992 at 50 mV/s to 0.972 at 150 mV/s and 0.843 at 200 mV/s. This suggests reversibility in this particular thin film decreased with increasing scan rate dropping from 99.2% to 97.2% then 84.3% as the scan rate increased from 50 mV/s to 200 mV/s. Despite this charge imbalance optical switching between the bleached and colored states remains clear. Based on the Randles-Sevcik equation [15], eqn.3,

$$i_{\rm p} = 2.72 \ge 10^5 \ge n^{3/2} \ge D^{1/2} \ge C_0 \ge v^{1/2}$$
 (3)

in which *D* is the diffusion constant,  $C_0$  is the concentration of active ions (H<sup>+</sup> ions in this case) in the electrolyte, *v* is the scan rate,  $i_p$  is the peak current (whether  $i_{pc}$  or  $i_{pa}$ ) and *n* is the number of electrons taking part in the electrochemical process, the diffusion coefficient of de-insertion of H<sup>+</sup> ions was calculated to be 1.33 x 10<sup>-11</sup> cm<sup>2</sup>/s at 50 mV/s, 7.34 x 10<sup>-12</sup> cm<sup>2</sup>/s at 150 mV/s and 6.46 x 10<sup>-12</sup> cm<sup>2</sup>/s at 200 mV/s.

That for insertion of H<sup>+</sup> into the WO<sub>3</sub> thin film at 50, 150 and 200 mV/s was respectively 1.66 x  $10^{-11}$  cm<sup>2</sup>/s, 1.62 x  $10^{-11}$  cm<sup>2</sup>/s, 5.04 x  $10^{-12}$  cm<sup>2</sup>/s. These values fall within the range of values for H<sup>+</sup> diffusivity reported by Patil et al and Sivakumar et al [15, 16] but are averagely 2 orders of magnitude less than the 4.36 x  $10^{-10}$  cm<sup>2</sup>/s and 6.08 x  $10^{-10}$  cm<sup>2</sup>/s values Sivakumar et al [16] report for films (1.7 µm) they treated at 300 °C. This drop in *D* values at all scan rates should be expected and can be explained by the fact that the H<sup>+</sup> diffusion to and from WO<sub>3</sub> films is reduced because of the diffusion-limiting effect of crystallization at 500 °C, an effect caused by increase in density of the WO<sub>3</sub> matrix, formation of grain boundaries and increase in grain size as annealing temperatures rise [15]. Despite the highly crystalline nature and thickness of the films (averagely 2-3 µm) their high porosity however allows for fairly fast coloration and bleaching rates. This fairly fast electro-optic response can be ascribed to the use of an electrolyte containing highly mobile H<sup>+</sup>, the open structure of perovskite-like hexagonal WO<sub>3</sub> and the presence of semi-amorphous WO<sub>3</sub> which promotes fast diffusion of H<sup>+</sup> [4,8]. The cyclic voltammograms (Fig. 9) show that the ion insertion/de-insertion process was not entirely reversible especially as the anodic peak potential is observed to increase with the increase in scan rate, an indication of slow insertion kinetics which leads to irreversibility [17].

The inset in Fig. 10b shows that the film adhered poorly to the surface. This generally occurred after 10-15 cycles and can be ascribed to dissolution effects (explained earlier) on WO<sub>3</sub> in the electrolyte of low pH, as well as semi-compactness due to the partially amorphous and porous nature of the films. The thin films produced should have greater stability in  $Li^+$  based electrolytes resulting in longer life cycles [4].

An important parameter for the evaluation of a thin film with respect to electrochromism is that of Coloration Efficiency. Coloration efficiency (CE) which is a measure of the optical contrast per unit charge inserted into the film was calculated using eqn. 4 [18],

$$CE(\lambda) = \Delta OD(\lambda)/Q/A = \ln(T_b(\lambda)/T_c(\lambda))/Q/A$$
(4)

in which  $\Delta OD(\lambda)$  is the change in optical density at a given wavelength,  $\lambda$ ;  $T_b(\lambda)$ ,  $T_c(\lambda)$ , are respectively transmittance in the bleached and colored states at the given wavelength, and Q/A the charge (Q) inserted per unit area (A) of the electrode.

Based on this, CE at a scan rate of 150 mV/s for the film (A = 1.45 cm<sup>2</sup>) investigated in Fig. 9b is estimated to be 18.91 cm<sup>2</sup>/C at 435 nm, 9.85 cm<sup>2</sup>/C at 629 nm, 13.78 cm<sup>2</sup>/C at 700 nm, 23.38 cm<sup>2</sup>/C at 950 nm and 23.99 cm<sup>2</sup>/C at 1000 nm. The values presented here at an inserted charge of 55.22 mC are in the range of values obtained by Sivakumar et al [16] for WO<sub>3</sub> thin films prepared by e-beam evaporation and annealed at 300 °C. The results obtained are however smaller in magnitude and are a confirmation of the fact that highly crystalline WO<sub>3</sub> thin films obtained after annealing at high temperatures show reduced electrochromic performance when compared to amorphous unannealed samples. This is due to the densification of the WO<sub>3</sub> layer which makes ion insertion much more difficult [9,15,16,18].

The results also suggest that the change in optical density per unit charge inserted was comparatively smaller than that reported in literature [15,16]. It is also possible that the while the charge inserted into the dense crystalline  $WO_3$  matrix was high the optical response was comparatively small. This may be explained by the fact that measurements of transmission for bleaching and coloring were carried out *ex situ*. In situ measurements of transmission during bleaching and coloring should result in increased, more accurate values for CE. Hopefully, investigating the electrochromic effect in amorphous thin films produced by Aqueous Chemical Growth should also show improved results. Investigations on this will be performed and reported subsequently.

#### **4. CONCLUSIONS**

We have successfully grown through self-assembly, using the method of Aqueous Chemical Growth at 80 - 90 °C, thin films of WO<sub>3</sub> on un-seeded FTO substrates. These were made up of urchinlike microspheres (1-2  $\mu$ m wide) covered with nanoscale hair/rod-like protrusions. XRD, HRTEM and SAED showed WO<sub>3</sub> to be in the hexagonal phase. XPS showed WO<sub>3</sub> in the films to be substoichiometric. Cyclic voltammetry in 0.1M H<sub>2</sub>SO<sub>4</sub> suggests fast diffusion kinetics of H<sup>+</sup> to and from the WO<sub>3</sub>-coated electrode. Reversible optical modulation during H<sup>+</sup> insertion/de-insertion at 50 mV/s was seen to occur in under 25 seconds. But for problems encountered with stability in aqueous electrolytes the method of Aqueous Chemical Growth demonstrates that at low temperatures (80-90 °C), solution-based wet chemistry can be used to produce large arrays of WO<sub>3</sub> thin films that may find application in flexible and rigid electrochromic devices, as well as dye-sensitized solar cells, photoelectrochemical devices and also supercapacitors.

#### ACKNOWLEDGEMENTS

The financial support of iThemba LABS-NRF, and the Abdus Salam ICTP (Trieste-Italy) via Nanosciences African Network (NANOAFNET) is hereby acknowledged. For assistance in SEM and TEM, we thank the staff of the Electron Microscopy Unit at the University of Cape Town.

## References

- 1. A.A. Joraid, Current Applied Physics 9, (2009) 73-79.
- 2. S. K. Deb, Sol. Energy Mater. Sol. Cells 92, (2008) 245-258.
- 3. J. Wang, P.S. Lee, and J. Ma, Crystal Growth & Design 9, (2009) 2293-2299.
- 4. P.M.S. Monk, Crit. Rev. Solid State Mater Sci. 24, (1999) 193-226.
- 5. P.R. Somani, S. Radhakrishnan, Mater. Chem. Phys. 77, (2002) 117-133.
- 6. C.G. Granqvist, G.A. Niklasson, A. Azens, Appl. Phys. A: Mater.Sci. Process. 89 (2007) 29-35.
- J. Zhang, X.L. Wang, X.H. Xia, C.D. Gu, J.P. Tu, Sol. Energy Mater. Sol. Cells 95, (2011) 2107-2112.
- 8. B. Baloukas, J-M. Lamarre, L. Martinu, Sol. Energy Mater. Sol. Cells 95, (2011) 807-815.
- 9. C.G. Granqvist, Sol. Energy Mater. Sol. Cells 60, (2000) 201-262.
- 10. L. Vayssieres, Int. J. of Nanotechnology 1, (2004) 1-41.
- 11. B.T. Sone, J. Sithole, R. Bucher, S.N. Mlondo, J. Ramontja, S. Sinha Ray, E. Iwuoha, M. Maaza, *Thin Solid Films* 522, (2012) 164-170.
- 12. J. Tauc, R. Grigorovici, A. Vancu, Phys. Status Solidi (b) 15, (1966) 627-637.
- 13. A.I. Mart'inez, L. Huerta, J.M.O.-Rueda de Le'on, D. Acosta, O. Malik and M. Aguilar, J. Phys. D: Appl. Phys. 39, (2006) 5091–5096.
- 14. E. Ozkan, S-H. Lee, C.E. Tracy, J.R. Pitts, S.K. Deb, Sol. Energy Mater. Sol. Cells 79, (2003) 439-448.
- 15. P.S. Patil, P.R. Patil, S.S. Kamble, S.H. Pawar, Sol. Energy Mater. Sol. Cells 60, (2000) 143-153.
- 16. R. Sivakumar, R. Gopalakrishnan, M. Jayachandran, C. Sanjeeviraja, *Smart Mater. Struct.* 15, (2006) 877-888.
- 17. E. Ozkan, S-H. Lee, P. Liu, C.E. Tracy, F.Z. Tepehan, J.R. Pitts, S.K. Deb, *Solid State Ionics* 149, (2002) 139-146.
- 18. M. Deepa, A.K. Srivastava, S.A. Agnihotry, Acta Materialia 54, (2006) 4583-4595.
- 19. C-K. Wang, D.R. Sahu, S-C. Wang, C-K. Lin and J-L. Huang, J. Phys. D: Appl. Phys. 45, (2012) 225303 (7pp).

© 2014 The Authors. Published by ESG (<u>www.electrochemsci.org</u>). This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution license (http://creativecommons.org/licenses/by/4.0/).